



*Leung*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Docket No. 12778US03)

In the Application of:

Saliba

Serial No.: 10/786,196

Filed: February 25, 2004

For: Method and Apparatus for Stock and Index  
Option Price Improvement, Participation, and  
Internalization

Examiner: Jagdish N. Patel

Group Art Unit: 3624

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is  
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Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

*Joseph M. Butscher*  
\_\_\_\_\_  
Joseph M. Butscher  
Reg. No. 48,326  
Date: May 5, 2005

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination and issuance of any office action in this application, please amend  
the application in this case as follows:

05/11/2005 BABRAHA1 00000022 130017 10786196

01 FC:2202 1050.00 DA  
02 FC:2201 300.00 DA